

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

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IN RE BANK OF NEW YORK MELLON CORP.  
FOREX TRANSACTIONS LITIGATION

No. 12-MD-2335 (LAK) (JLC)

THIS DOCUMENT RELATES TO:

*Southeastern Pennsylvania Transportation Authority v.  
The Bank of New York Mellon Corporation, et al.*

No. 12-CV-3066 (LAK) (JLC)

*International Union of Operating Engineers, Stationary  
Engineers Local 39 Pension Trust Fund v. The Bank of  
New York Mellon Corporation, et al.*

No. 12-CV-3067 (LAK) (JLC)

*Ohio Police & Fire Pension Fund, et al. v. The Bank of  
New York Mellon Corporation, et al.*

No. 12-CV-3470 (LAK) (JLC)

*Carver, et al. v. The Bank of New York Mellon, et al.*

No. 12-CV-9248 (LAK) (JLC)

*Fletcher v. The Bank of New York Mellon, et al.*

No. 14-CV-5496 (LAK) (JLC)

**~~PROPOSED~~ ORDER APPROVING PLAN OF ALLOCATION**

This matter came on for hearing on September 24, 2015 (the “Settlement Hearing”), on Lead Settlement Counsel’s motion to determine, among other things, whether the proposed plan of allocation of the proceeds obtained in the settlement of the above-captioned action (the “Litigation”) should be approved.<sup>1</sup> The Court having considered all matters submitted to it at the Settlement Hearing and otherwise; and it appearing that notice of the Settlement Hearing substantially in the form approved by the Court was mailed to all persons and entities reasonably identifiable as members of the Settlement Class, and that a summary notice of the Settlement

<sup>1</sup> The proposed plan of allocation will also be used to allocate the proceeds obtained pursuant to settlements Defendants reached with the New York Attorney General and United States Department of Labor.

Hearing substantially in the form approved by the Court was published in the national edition of *The Wall Street Journal* and transmitted over *PR Newswire* pursuant to the specifications of the Court; and the Court having considered and determined the fairness and reasonableness of the proposed plan of allocation (the “Plan of Allocation”).

IT IS HEREBY ORDERED, that:

1. This Order approving the proposed Plan of Allocation incorporates by reference the definitions in the Stipulation and Agreement of Settlement dated as of March 19, 2015, entered into among Plaintiffs, on behalf of themselves and each Settlement Class Member, and Defendants (the “Stipulation”) and all terms not otherwise defined herein shall have the same meanings as set forth in the Stipulation.
2. The Court has jurisdiction to enter this Order approving the proposed Plan of Allocation, and over the subject matter of the Litigation and all parties to the Litigation, including all Settlement Class Members.
3. Notice of Lead Settlement Counsel’s motion for approval of the proposed Plan of Allocation was given to all Settlement Class Members who could be identified with reasonable effort. The form and method of notifying the Settlement Class of the motion for approval of the proposed Plan of Allocation: (i) constituted the best notice practicable under the circumstances; (ii) was reasonably calculated, under the circumstances, to apprise Settlement Class Members of the motion; (iii) constituted due and sufficient notice of the Settlement to all Persons entitled to receive such; and (iv) satisfied the requirements of Rule 23 of the Federal Rules of Civil Procedure, the Constitution of the United States (including the Due Process Clause), and all other applicable law and rules.
4. Copies of the Notice, which included the proposed Plan of Allocation, were

disseminated to approximately 1,218 members of the Settlement Class as identified in the custodial files of The Bank of New York Mellon.

5. Having reviewed the Plan of Allocation, the Court hereby concludes that the formula for the calculation of the Recognized Claims of Settlement Class Members as set forth in the Plan of Allocation provides a fair and reasonable basis upon which to allocate the proceeds of the Settlement among members of the Settlement Class.

6. The Court hereby finds and concludes that the Plan of Allocation is, in all respects, fair and reasonable to the Settlement Class. Accordingly, the Court hereby approves

the Plan of Allocation proposed by Lead Settlement Counsel and Plaintiffs, *provided, however,*

*that nothing herein approves attorneys' fees, awards, or expense*  
7. There is no just reason for delay in the entry of this Order, and immediate entry by the Clerk of the Court is expressly directed.

SO ORDERED this 24<sup>th</sup> day of Sept., 2015.

*reimbursement as to which decision is reserved.*  
  
The Honorable Lewis A. Kaplan  
United States District Judge